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PATENT APPLICATION
2207/13697

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

jc971 U.S. PTO
10/092793
03/08/02

In re the Application of: HAWKINS, Peter A.

Application No.: Not assigned

Group Art Unit: Not assigned

Examiner: Not assigned

Filed: March 8, 2002

For: SYSTEM MANAGEMENT CONTROLLER NEGOTIATION PROTOCOL

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.

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☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

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☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

☐ c. Please debit Deposit Account No. 11-0600 in the amount of \$240.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

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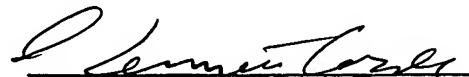
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Respectfully submitted,

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Date: March 8, 2002


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Sheet 1 of 1

Complete if Known

Application Number	Not assigned
Filing Date	March 8, 2002
First Named Inventor	HAWKINS, Peter A.
Group Art Unit	Not assigned
Examiner Name	Not assigned
Attorney Docket Number	2207/13697

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U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

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		Sun Microsystems, Presentation to the PICMG 2.13 Working Group, "Managed Redundant System Slot Operations"	
		Intel Corp., Hewlett-Packard Co., NEC Corp., Dell Computer Corp., "IPMI: Intelligent Platform Management Interface Specification", v1.5, Document Revision 1.0, Chapters 1-3, pages 1-29, February 21, 2001	
		Intel Corp., product description: "Intel® NetStructure™ ZT 7101 Chassis Management Module", 2001	

Examiner Signature		Date Considered	
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